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Sheet	1	of	19
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Application Number	09/981,433
Filing Date	October 17, 2001
First Named Inventor	Farris et al.
Art Unit	2131
Examiner Name	Not Yet Assigned
Attorney Docket	72291

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code²			
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³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Sheet 2 of 19

Application Number	09/981,433
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Art Unit	2131
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OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
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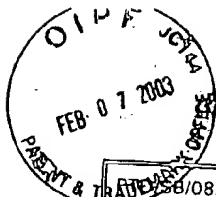
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Sheet 3 of 19

Application Number	09/981,433
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U.S. PATENT DOCUMENTS

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		Number-Kind Code ²			
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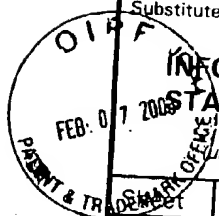
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Sheet 4 of 19

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Art Unit	2131
Examiner Name	Not Yet Assigned
Attorney Docket	72291

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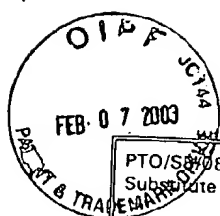
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Sheet 5 of 19

Application Number	09/981,433
Filing Date	October 17, 2001
First Named Inventor	Farris et al.
Art Unit	2131
Examiner Name	Not Yet Assigned
Attorney Docket	72291

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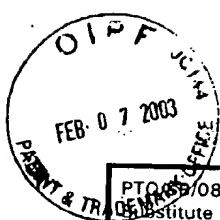
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
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Examiner Signature	Hasuk SONG	Date Considered	11/3/04
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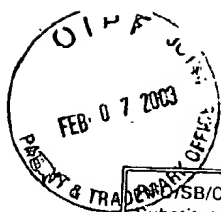
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		First Named Inventor	Farris et al.		
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OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
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HS		WO 93/20538	10-14-1993	Edward		
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Examiner Signature	Mosik SONG	Date Considered	11/3/04
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Art Unit	2131
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HS		US-4,703,359	10-27-1987	Rumbolt et al.	
HS		US-4,710,613	12-01-1987	Shigenaga	
HS		US-4,716,301	12-29-1987	Willmott et al.	
HS		US-4,720,860	01-19-1988	Weiss	
HS		US-4,723,121	02-02-1988	van den Boom et al.	
HS		US-4,731,575	03-15-1988	Sloan	

FOREIGN PATENT DOCUMENTS

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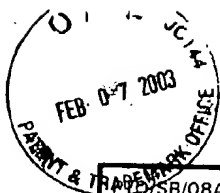
Hosuk Song

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³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.



SUB/08A Substitute for Form PTO-1449		Application Number	09/681,433		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Filing Date	October 17, 2001		
		First Named Inventor	Farris et al.		
		Art Unit	2131		
		Examiner Name	Not Yet Assigned		
Sheet	10	of	19	Attorney Docket	72291

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
HS		ElGamal, Taher. <i>A Subexponential Time Algorithm for Computing Discrete Logarithms</i> , pp. 473-481, IEEE, Transactions on Information Theory, Vol. IT-31, No. 4, (July 1985)	
HS		Feistel, Horst. <i>Cryptography and Computer Privacy</i> , pp. 15-23, Scientific American, Vol. 228, No. 5, (May 1973)	
HS		Feistel, Horst, Notz, Wm. A. and Smith, J. Lynn. <i>Some Cryptographic Techniques for Machine-to-Machine Data Communications</i> , pp. 1545-1554, Proceedings of the IEEE, Vol. 63, No. 11, (November 1975)	
HS		Fenzl, H. and Kliner, A. <i>Electronic Lock System: Convenient and Safe</i> , pp. 150-153, Siemens Components XXI, No. 4, (1987)	
HS		Fischer, Elliot. <i>Uncaging the Hagelin Cryptograph</i> , pp. 89-92, Cryptologia, Vol. 7, No. 1, (January 1983)	
HS		Fragano, Maurizio. <i>Solid State Key/Lock Security System</i> , pp. 604-607, IEEE Transactions on Consumer Electronics, Vol. CE-30, No. 4, (November 1984)	
HS		Godlewski, Ph. and Camion, P. <i>Manipulations and Errors, Detection and Localization</i> , pp. 97-106, Proceedings of Eurocrypt 88, (1988)	
HS		Greenlee, B. M., <i>Requirements for Key Management Protocols in the Wholesale Financial Services Industry</i> , pp. 22-28, IEEE Communications Magazine, (September 1985)	
HS		Guillou, Louis C. <i>Smart Cards and Conditional Access</i> , pp. 481-489, Proceedings of Eurocrypt, (1984)	
Examiner Signature	Hosuk Song		Date Considered
			11/3/04

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PTO/SB-08A
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet	11	of	19	Attorney Docket	72291
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U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ²			
HS		US-4,737,770	04-12-1988	Brunius et al.	
HS		US-4,740,792	04-26-1988	Sagey et al.	
HS		US-4,750,118	06-07-1988	Heitschel et al.	
HS		US-4,754,225	06-28-1988	Sanders et al.	
HS		US-4,755,255	07-05-1988	Pezzolo et al.	
HS		US-4,758,835	07-19-1988	Rathmann et al.	
HS		US-4,761,808	08-02-1988	Howard	
HS		US-4,779,090	10-18-1988	Miczniak et al.	
HS		US-4,794,268	12-27-1988	Nakano et al.	
HS		US-4,794,622	12-27-1988	Isaacman et al.	
HS		US-4,796,181	01-03-1989	Wiedemer	
HS		US-4,799,061	01-17-1989	Abraham et al.	
HS		US-4,800,590	01-24-1989	Vaughn	
HS		US-4,802,114	01-31-1989	Sogame	

FOREIGN PATENT DOCUMENTS

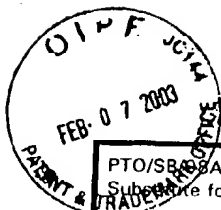
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PTO/SB-08A Substitute for Form PTO-1449			Application Number	09/681,433	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)			Filing Date	October 17, 2001	
			First Named Inventor	Farris et al.	
			Art Unit	2131	
			Examiner Name	Not Yet Assigned	
Sheet	12	of	19	Attorney Docket	72291

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
HS		Guillou, Louis C. and Quisquater, Jean-Jacques. A Practical Zero-Knowledge Protocol Fitted to Security Microprocessor Minimizing Both Transmission and Memory, pp. 123-128, Advances in Cryptology - Eurocrypt 88, (1988)	
HS		Habermann, A. Nico. Synchronization of Communicating Processes, pp. 171-176, Communications, (March 1972)	
HS		Hagelin C-35/C-36, The, p. 1, (Undated). http://hem.passagen.se/tan01/C-35.HTML	
HS		ISO 8732: 1988(E): Banking Key Management (Wholesale) Annex D: Windows and Windows Management, (November 1988)	
HS		Jones, Anita K. Protection Mechanisms and The Enforcement of Security Policies, pp. 228-251, Carnegie-Mellon University, Pittsburgh, PA, (1978)	
HS		Jueneman, R. R., et al. Message Authentication, pp. 29-40, IEEE Communications Magazine, Vol. 23, No. 9, (September 1985)	
HS		Kahn, Robert E. The Organization of Computer Resources Into A Packet Radio Network, pp. 177-186, National Computer Conference, (1975)	
HS		Keeloq [®] Code Hopping Decoder, HCS500, pp. 1-25, 1997 Microchip Technology, Inc.	
HS		Keeloq [®] Code Hopping Encoder, HCS300, pp. 1-20, 1996 Microchip Technology, Inc.	

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Institute for Form PTO-1449

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 13 of 19

Application Number	09/981,433
Filing Date	October 17, 2001
First Named Inventor	Farris et al.
Art Unit	2131
Examiner Name	Not Yet Assigned
Attorney Docket	72291

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

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HS		Keeloq® NTQ 105 Code Hopping Encoder, pp. 1-8, Nanoteq (Pty.) Ltd., (July 1993).	
HS		Keeloq® NTQ 115 Code Hopping Decoder, pp. 1-8, Nanoteq (Pty.) Ltd., (July 1993).	
HS		Keeloq® NTQ 125D Code Hopping Decoder, pp. 1-9, Nanoteq (pty.) Ltd., (July 1993).	
HS		Kent, Stephen T. <i>A Comparison of Some Aspects of Public-Key and Conventional Cryptosystems</i> , pp. 4.3.1-5, ICC '79 Int. Conf. on Communications, Boston, MA, (June 1979).	
HS		Kent, Stephen T. <i>Comments on 'Security Problems in the TCP/IP Protocol Suite'</i> , pp.10-19, Computer Communication Review, Vol. 19, Part 3, (July 1989).	
HS		Kent, Stephen T. <i>Encryption-Based Protection Protocols for Interactive User-Computer Communication</i> , pp. 1-121, (May 1976). (See pp. 50-53).	
HS		Kent, Stephen T. <i>Protocol Design Consideration for Network Security</i> , pp. 239-259, Proc. NATO Advanced Study Institute on Interlinking of Computer Networks, (1979).	
HS		Kent, Stephen T., <i>Protocol Design Considerations for Network Security</i> , pp. 239-259, Proc. NATO Advanced Study Institute on Interlinking of Computer Networks, (1979).	

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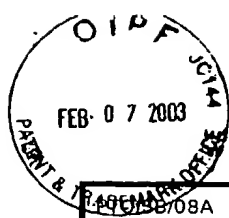
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			First Named Inventor	Farris et al.	
			Art Unit	2131	
			Examiner Name	Not Yet Assigned	
Sheet	14	of	19	Attorney Docket	72291

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Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
HS		Kent, Stephen T., et al. Personal Authorization System for Access Control to the Defense Data Network, pp. 89-93, Conf. Record of Eascon 82 15 th Ann Electronics & Aerospace Systems Conf., Washington, D.C. (September 1982)	
HS		Kent, Stephen T. Security Requirements and Protocols for a Broadcast Scenario, pp. 778-86, IEEE Transactions on Communications, Vol. com-29, No. 6, (June 1981).	
HS		Konheim, A.G. <i>Cryptography: A Primer</i> , pp 285-347, New York, (John Wiley, 1981)	
HS		Kruh, Louis. <i>Device and Machines: The Hagelin Cryptographer</i> , Type C-52, pp. 78-82, Cryptologia, Vol. 3, No. 2, (April 1979).	
HS		Kruh, Louis. <i>How to Use the German Enigma Cipher Machine: A photographic Essay</i> , pp. 291-296, Cryptologia, Vol. No. 7, No. 4 (October 1983).	
HS		Kuhn, G.J. <i>Algorithms for Self-Synchronizing Ciphers</i> , pp. 159-164, Comsig 88, University of Pretoria, Pretoria, (1988).	
HS		Kuhn, G.J., et al. <i>A Versatile High-Speed Encryption Chip</i> , INFOSEC '90 SYMPOSIUM, Pretoria, (March 16, 1990).	
HS		Lamport, Leslie. <i>The Synchronization of Independent Processes</i> , pp. 15-34, Acta Informatica, Vol. 7, (1976).	

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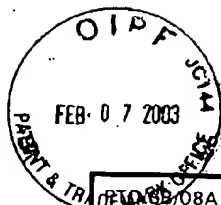


PTO/US 708A Substitute for Form PTO-1449 INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>		Application Number 09/981,433
		Filing Date October 17, 2001
		First Named Inventor Farris et al.
		Art Unit 2131
		Examiner Name Not Yet Assigned
Sheet 15	of 19	Attorney Docket 72291

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No.†	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T²
HS		Linn, John and Kent, Stephen T. <i>Electronic Mail Privacy Enhancement</i> , pp. 40-43, American Institute of Aeronautics and Astronautics, Inc. (1986).	
HS		Lloyd, Sheelagh. <i>Counting Functions Satisfying a Higher Order Strict Avalanche Criterion</i> , pp. 63-74, (1990).	
HS		Marneweck, Kobus. <i>Guidelines for KeeLoq® Secure Learning Implementation</i> , TB007, pp. 1-5, 1987 Microchip Technology, Inc.	
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HS		McIvor, Robert. <i>Smart Cards</i> , pp. 152-159, Scientific American, Vol. 253, No. 5, (November 1985)	
HS		Meier, Willi. <i>Fast Correlations Attacks on Stream Ciphers</i> (Extended Abstract), pp. 301-314, Eurocrypt 88, IEEE, (1988).	
HS		Meyer, Carl H. and Matyas Stephen H. <i>Cryptography: A New Dimension in Computer Data Security</i> , pp. 237-249 (1982).	
HS		Michener, J.R. The 'Generalized Rotor' <i>Cryptographic Operator and Some of Its Applications</i> , pp. 97-113, Cryptologia, vol. 9, No. 2, (April 1985)	
HS		Morris, Robert. <i>The Hagelin Cipher Machine (M-209): Reconstruction of the Internal Settings</i> , pp.267-289, Cryptologia, 2(3), (July 1978).	

Examiner Signature Hosuk Song	Date Considered 11/3/04
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Substitute for Form PTO-1449

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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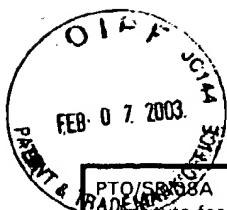
Sheet	16	of	19	Application Number	09/981,433
				Filing Date	October 17, 2001
				First Named Inventor	Farris
				Art Unit	2131
				Examiner Name	Not Yet Assigned
				Attorney Docket	72291

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HS		Newman, David B., Jr., et al. "Public Key Management for Network Security", pp. 11-16, IEE Network Magazine, 1987.	
HS		News: Key System for Security, p.38 (April 1982).	
HS		Niederreiter, Harald. <i>Keystream Sequences with a Good Linear Complexity Profile for Every Starting Point</i> , pp. 523-532, Proceedings of Eurocrypt 89, (1989).	
HS		NM95HS01/NM95HS02 HiSeC™ (High Security Code) Generator, pp. 1-19, National Semiconductor, (January 1995).	
HS		Otway, Dave and Rees, Owen. <i>Efficient and Timely Mutual Authentication</i> , pp. 8-11 (Undated).	
HS		Peyret, Patrice, et al. <i>Smart Cards Provide Very High Security and Flexibility in Subscribers Management</i> , pp. 744-752, IEE Transactions on Consumer Electronics, 36(3), (August 1990).	
HS		Postel, Jonathon B., et al. <i>The ARPA Internet Protocol</i> , pp. 261-271, (1981).	
HS		Reed, David P. and Kanodia, Rajendra K. <i>Synchronization with Eventcounts and Sequencers</i> , pp. 115-123, Communications of the ACM, vol. 22, No. 2, (February 1979).	
HS		Reynolds, J. and Postel, J. <i>Official ARPA-Internet Protocols, Network Working Groups</i> , (April 1985)	

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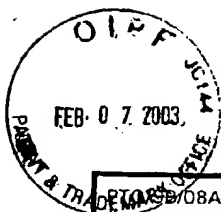
PTO/SB-08A Substitute for Form PTO-1449		Application Number	09/981,433
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>		Filing Date	October 17, 2001
		First Named Inventor	Farris
		Art Unit	2131
		Examiner Name	Not Yet Assigned
Sheet	17	of	19
		Attorney Docket	72291

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HS		Ruffell, J. Battery Low Indicator, p. 15-165, Eleckton Electronics, (March 1989. (See p. 59).	
AS		Saab Anti-Theft System: Saab's Engine Immobilizing Anti-Theft System is a Road-Block for 'Code-Grabbing' Thieves, pp. 1-2, (Undated).	
HS		Savage, J.E. Some Simple Self-Synchronizing Digital Data Scramblers, pp. 449-498, The Bell System Tech. Journal, (February 1967)	
HS		Seberry, J. and Pieprzyk, Cryptography - An Introduction to Computer Security, (Prentice Hall of Australia, YTY LTD, 1989)	
HS		Secure Terminal Interface Module for Smart Card Applications, pp. 1488-1489, IBM: Technical Disclosure Bulletin, Vol. 28, No. 4, (September 1985).	
HS		Shamir, Adi. Embedding cryptographic Trapdoors In Arbitrary Knapsak Systems, pp. 81-85, IEEE Transactions on Computers, Vol C-34, No. 1, (January 1985).	
HS		Siegenthaler, T. Decrypting a Class of Stream Ciphers Using Ciphertext Only, pp. 81-85, IEEE Transactions on Computers, Vol. C-34, No. 1, (January 1985).	
HS		Simmons, Gustavus, J. Message Authentication with Arbitration of Transmitter/Receiver Disputes, pp. 151-165 (1987).	
HS		Smith, J.L. The Design of Lucifer: a Cryptographic Device for Data Communications, pp. 1-65, (April 15, 1971).	

Examiner Signature	Heek Song	Date Considered	11/3/04
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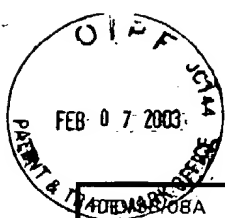


PTO 859/08A Substitute for Form PTO-1449		Application Number	09/981,433
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>		Filing Date	October 17, 2001
		First Named Inventor	Farris
		Art Unit	2131
		Examiner Name	Not Yet Assigned
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		Attorney Docket	72291

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HS		Smith, J.L., et al. <i>An Experimental Application of Crptography to a Remotely Accessed Data System</i> , pp. 282-297, Proceedings of hte ACM, (August 1972).	
HS		Svigals, J. <i>Limiting Access to Data in an Identification Card Having A Micro-Processor</i> , pp. 580-581, IBM: Technical Disclosure Bulletin, Vol. 27, No. 1B, (June 1984).	
HS		<i>Transaction Completion Code Based on Digital Signatures</i> , pp. 1109-1122, IBM: Technical Disclosure Bulletin, vol. 28, No. 3, (August 1985)	
HS		Turn, Rein. <i>Privacy Transformations for Databank Systems</i> , pp. 589-601, National Computer Conference, (1973).	
HS		Voydock, Victor L. and Kent, Stephen T. <i>Security in High-Level Network Protocols</i> , pp. 12-25, IEEE Communications Magazine, Vol. 23, No. 7, (July 1985).	
HS		Voydock, Victor L. and Kent, Stephen T. <i>Security Mechanisms in a Transport Layer Protocol</i> , pp. 325-341, Computers & Security, (1985).	
HS		Voydock, Victor L. and Kent, Stephen T. <i>Security Mechanisms in High-Level Network Protocols</i> , pp. 135-171, Computing Surveys, vol. 15, No. 2 (June 1983).	
HS		Watts, Charles and Harper John. <i>How to Design a HiSec™ Transmitter</i> , pp. 1-4, National Semiconductor, (October 1994).	
HS		Weinstein, S.B. <i>Smart Credit Cards: The Answer to Cashless Shopping</i> , pp. 43-49, IEEE Spectrum, (February 1984).	

Examiner Signature	HSuk SONG	Date Considered	11/3/04
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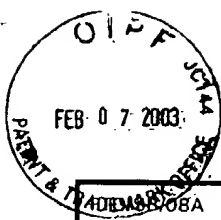
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	09/981,433		
		Filing Date	October 17, 2001		
		First Named Inventor	Farris		
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		Examiner Name	Not Yet Assigned		
Sheet	19	of	19	Attorney Docket	72291

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HS		Weissman, C. <i>Security Controls in the ADEPT-50 Time-Sharing System</i> , pp. 119-133, AFIPS Full Joint Computer Conference, (1969).	
HS		Welsh, Dominic, <i>Codes and Cryptography</i> , pp. 7.0-7.1, (Clarendon Press, 1988).	

Examiner Signature	HEBIR SONG	Date Considered	11/3/04
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Substitute for Form PTO-1449

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Application Number	09/981,433		
Filing Date	October 17, 2001		
First Named Inventor	Farris		
Art Unit	2131		
Examiner Name	Not Yet Assigned		
Attorney Docket	72291		
Sheet	19	of	19

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
HS		Weissman, C. <i>Security Controls in the ADEPT-50 Time-Sharing System</i> , pp. 119-133, AFIPS Full Joint Computer Conference, (1969).	
HS		Welsh, Dominic, <i>Codes and Cryptography</i> , pp. 7.0-7.1, (Clarendon Press, 1988).	

Examiner Signature	HOSEK SONG	Date Considered	11/3/04
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

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